

Annexure A

Disclosure of voting activities under Stewardship Code

Name of the Insurer: Max Life Insurance Company Ltd.

Period of Reporting: Jan-March 2021

Summary of Voting Actions

Sl No.	Financial Year	Month	Total No. of Resolutions	Resolution where Max Life Acted		
				For	Against	Abstain
1	2020-2021	January	9	4	5	Nil
2	2020-2021	February	1	1	Nil	Nil
3	2020-2021	March	17	15	2	Nil

Details of Voting Actions

Meeting Date	Company Name	Types of Meeting (AGM/EGM/PB/TCM)	Evoting Platform	Proposal by Management or Shareholder	Proposal's Description	Investee Company's Management Recommendation	Vote (FOR/AGAINST /ABSTAIN)	Reason supporting the vote decision
24-Dec-20	DHFL	COC(18th)	evotingforibc.com	Management	Resolution to approve the distribution mechanism of the value receivable by the creditors of Dewan Housing Finance Corporation Limited ("DHFL") under the resolution plan submitted by the successful resolution applicant.	FOR	Against	Non favourable distribution mechanism

Meeting Date	Company Name	Types of Meeting (AGM/EGM/PB/TCM)	Evoting Platform	Proposal by Management or Shareholder	Proposal's Description	Investee Company's Management Recommendation	Vote (FOR/AGAINST /ABSTAIN)	Reason supporting the vote decision
24-Dec-20	DHFL	COC(18th)	evotingforibc.com	Management	Resolution authorising Cyril Amarchand Mangaldas and J. Sagar Associates, legal advisors to the committee of creditors ("CoC") of Dewan Housing Finance Corporation Limited ("DHFL"), to do all things necessary in respect of representing the committee of creditors in connection with the corporate insolvency resolution process ("CIRP") including but not limited to, representing the CoC in all legal proceedings before any judicial forum, whether initiated before or after the approval of the resolution plan(s) by the Hon'ble National Company Law Tribunal, Mumbai Bench and drafting responses to notices/ letters/ any communication received by the CoC from any persons/ parties.	FOR	FOR	Procedural resolution
24-Dec-20	DHFL	COC(18th)	evotingforibc.com	Management	Resolution in respect of creation of corpus for meeting costs of advisors appointed by or on behalf of the Committee of Creditors of DHFL relating to the corporate insolvency resolution process of DHFL.	FOR	FOR	Procedural resolution
24-Dec-20	DHFL	COC(18th)	evotingforibc.com	Management	Resolution Plan submitted by India Opportunities Investments Singapore Pte. Ltd. ("Oaktree") for Option I.	FOR	FOR	No concerns identified
24-Dec-20	DHFL	COC(18th)	evotingforibc.com	Management	Resolution Plan submitted by Piramal Capital & Housing Finance Limited for Option I.	FOR	FOR	No concerns identified
24-Dec-20	DHFL	COC(18th)	evotingforibc.com	Management	Resolution Plan submitted by Adani Properties Private Limited along with its wholly owned subsidiary Nirjara Pedestal Private Limited for Option I.	FOR	Against	Lower recovery value
24-Dec-20	DHFL	COC(18th)	evotingforibc.com	Management	Resolution Plan for Option II- First Scenario (Combination of: Piramal Option IIA, Adani Option IIB and Adani Option IIC)	FOR	Against	Lower recovery value

Meeting Date	Company Name	Types of Meeting (AGM/EGM/PB/TCM)	Evoting Platform	Proposal by Management or Shareholder	Proposal's Description	Investee Company's Management Recommendation	Vote (FOR/AGAINST /ABSTAIN)	Reason supporting the vote decision
24-Dec-20	DHFL	COC(18th)	evotingforibc.com	Management	Resolution Plan for Option II- Second Scenario (Combination of: Piramal Option IIA, SC Lowy Option IIB and Adani Option IIC)	FOR	Against	Lower recovery value
24-Dec-20	DHFL	COC(18th)	evotingforibc.com	Management	Approval of CIRP costs under Regulation 31 of CIRP regulations	FOR	Against	Excessive Fees
9-Feb-21	Bharti Airtel	PB	Karvy	Management	Shifting of Registered Office of the Company from the National Capital Territory (NCT) of Delhi to the State of Haryana.	FOR	FOR	Routine business proposal
06-Mar-21	Mahindra Logistics	PB	NSDL	Management	Appointment of Mr. Dhananjay Mungale (DIN: 00007563) as an Independent Director of the Company.	FOR	Against	Tenure more than Ten Years
06-Mar-21	Mahindra Logistics	PB	NSDL	Management	Appointment of Mr. Naveen Raju (DIN: 07653394) as Non-Executive (Non-Independent) Director of the Company.	FOR	FOR	No concerns identified
06-Mar-21	Mahindra Logistics	PB	NSDL	Management	Grant of Restricted Stock Units to Mr. V. S. Parthasarathy, Non-Executive (Non-Independent) Director and Chairman of the Company.	FOR	FOR	No concerns identified
15-Mar-21	Petronet LNG	EGM	CDSL	Management	To consider and approve Material Related Party Transactions.	FOR	FOR	No concerns identified
15-Mar-21	Petronet LNG	EGM	CDSL	Management	To re-appoint Shri Sidhartha Pradhan (DIN: 06938830), as Independent Director of the Company.	FOR	FOR	No concerns identified
16-Mar-21	Sun Pharma	TCM	CDSL	Management	Scheme of Amalgamation and Merger of Sun Pharma Global FZE with Sun Pharmaceutical Industries Limited, and their respective members and creditors.	FOR	FOR	Routine business proposal
22-Mar-21	Ahluwalia Contracts	PB	Link-intime	Management	Re-appointment of Sh. Bikramjit Ahluwalia, (Din: 00304947) as Managing Director of the Company for another period of three years.	FOR	Against	We believe the chairman and MD positions should be split

Meeting Date	Company Name	Types of Meeting (AGM/EGM/PB/TCM)	Evoting Platform	Proposal by Management or Shareholder	Proposal's Description	Investee Company's Management Recommendation	Vote (FOR/AGAINST /ABSTAIN)	Reason supporting the vote decision
24-Mar-21	3M	PB	Karvy	Management	Re-Appointment Of Ms. Radhika Govind Rajan (DIN: 00499485) As A Non-Executive Independent Director Of The Company For A Second Term Of 5 (Five) Years Effective From May 27, 2021.	FOR	FOR	No concerns identified
24-Mar-21	3M	PB	Karvy	Management	Appointment Of Ms. Mamata Janak Gore (DIN: 08792863) as a Director.	FOR	FOR	No concerns identified
24-Mar-21	3M	PB	Karvy	Management	Appointment Of Ms. Mamata Janak Gore (DIN: 08792863) As A Whole-Time Director Of The Company For A Period Of 3 (Three) Years Effective From September 01, 2020.	FOR	FOR	No concerns identified
24-Mar-21	3M	PB	Karvy	Management	Appointment Of Mr. James Ernest Falteisek (DIN: 08792857) As A Director.	FOR	FOR	No concerns identified
25-Mar-21	BPCL	EGM	NSDL	Management	Approve disinvestment of entire 61.65% stake held in Numaligarh Refinery Limited (NRL) for a consideration of Rs. 98.76 billion	FOR	FOR	No concerns identified
25-Mar-21	Cipla	PB	Karvy	Management	To approve the Cipla Employee Stock Appreciation Rights Scheme 2021 for employees of the Company.	FOR	FOR	No concerns identified
25-Mar-21	Cipla	PB	Karvy	Management	To approve extension of the Cipla Employee Stock Appreciation Rights Scheme 2021 to employees of subsidiary(ies)	FOR	FOR	No concerns identified
31-Mar-21	Reliance Industries.(FF)	Karvy	Karvy	Management	Scheme of Arrangement between Reliance Industries Limited & its shareholders and creditors and Reliance O2C Limited & its shareholders and creditors.	FOR	FOR	Routine business proposal
31-Mar-21	Reliance Industries.(PP)	Karvy	Karvy	Management	Scheme of Arrangement between Reliance Industries Limited & its shareholders and creditors and Reliance O2C Limited & its shareholders and creditors.	FOR	FOR	Routine business proposal
31-Mar-21	Reliance Industries.(Sec & Unsec Debt)	Karvy	Karvy	Management	Scheme of Arrangement between Reliance Industries Limited & its shareholders and creditors and Reliance O2C Limited & its shareholders and creditors.	FOR	FOR	Routine business proposal

